

Addressing Tobacco Use from a Trauma-Informed, Resilience-Oriented Approach: Tobacco & Behavioral Health Masterclass Workshop



National Behavioral Health Network
For Tobacco & Cancer Control

Thursday, February 18, 2021
2:00pm ET

Closed captioning: <https://www.streamtext.net/player?event=TraumaInformedCareandTobaccoCessation>



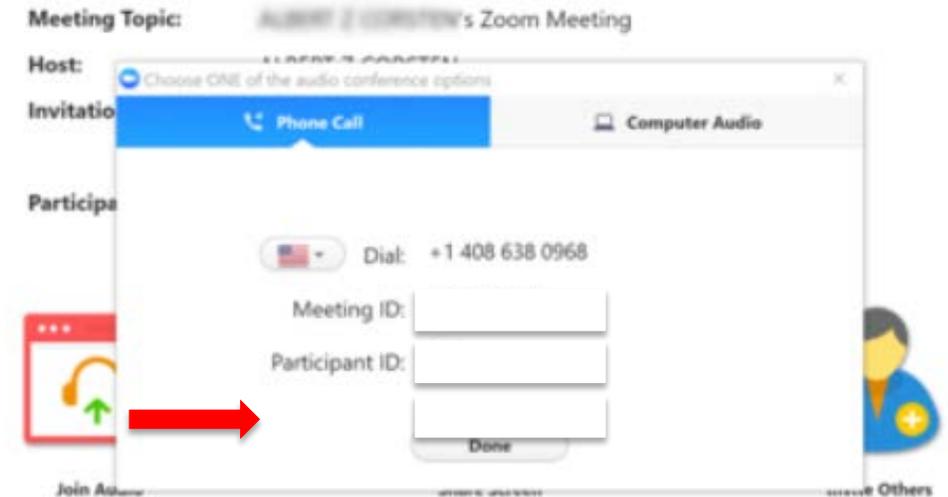
Housekeeping

- This workshop is being recorded. All participants placed in “listen-only” mode.
- For audio access, participants can either dial into the conference line or listen through your computer speakers.
- Submit questions by typing them into the chatbox.
- Access to closed captioning:
<https://www.streamtext.net/player?event=TraumaInformedCareandTobaccoCessation>
- Slide handouts and recording will be posted here:
 - <https://www.bhthechange.org/resources/resource-type/archived-webinars/>



Housekeeping: Joining the Meeting

- Please call in via phone so that in the event of technical difficulties with your computer, you do not get disconnected.
- Please mute yourself when it is not your turn to speak.
- If you have questions during the meeting, please send them via the chat box, which will be monitored by the National Council meeting facilitator.





National Behavioral Health Network

For Tobacco & Cancer Control

- Jointly funded by CDC's *Office on Smoking & Health & Division of Cancer Prevention & Control*
- Provides resources and tools to help organizations reduce tobacco use and cancer among people with mental illness and addictions
- 1 of 8 CDC National Networks to eliminate cancer and tobacco disparities in priority populations

Visit www.BHtheChange.org and
Join Today!

Free Access to...

Toolkits, training opportunities, virtual communities and other resources

Webinars & Presentations

State Strategy Sessions

Communities of Practice



#BHtheChange





*Thank you for
your commitment
to this work and
for being here
today*





Welcome!



Taslim van Hattum, LCSW, MPH
Senior Director of Practice Improvement
National Council for Behavioral Health



Karen Johnson, MSW, LCSW
Consultant, Trauma-Informed,
Resilience-Oriented Services
National Council for Behavioral Health



Today's Overview

- Overview of Connection between Trauma and Tobacco Use in Behavioral Health Populations
- Trauma-Informed, Resilience-Oriented Approaches to Tobacco Cessation
 - Delivery of trauma-informed, resilience-oriented tobacco interventions for behavioral health populations
 - Real-life strategies for addressing tobacco use in populations with trauma history and PTSD
 - Trauma-informed, resilience-oriented approaches and smoking cessation examples

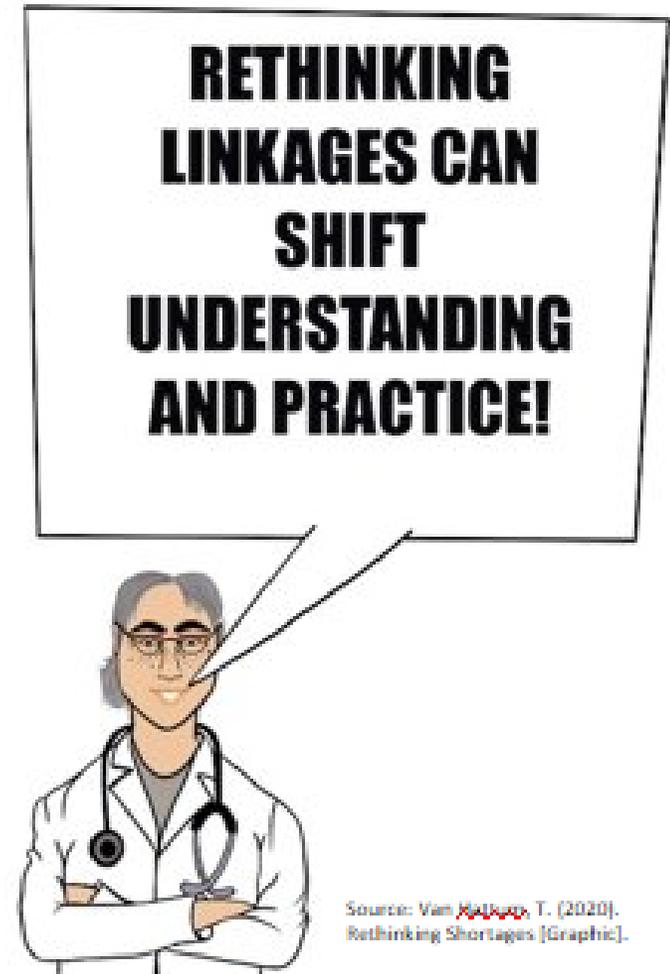
Learning Objectives

What caused this problem?

Understanding why individuals with behavioral health conditions and trauma histories have such disproportionate tobacco use rates

Recognize solutions provided by **evidenced-based interventions** and the **paradigm shift to trauma-informed** as central to addressing tobacco use and other modifiable health risks.

Rethinking the role of healthcare staff within trauma-informed practice and Around substance use disorders, including tobacco use and more



Source: Van Matwyck, T. (2020). Rethinking Shortages [Graphic].

Adversity, Trauma and Toxic Stress

- **Trauma** – possible outcome of exposure to adversity that occurs when a person perceives an event or set of circumstances as extremely frightening, harmful or threatening.
- **Toxic stress** – can occur when an individual experiences adversity that is extreme, long-lasting and severe without adequate support and the stress response system becomes overactivated.
- **Childhood adversity** – wide range of circumstances or events that pose a serious threat to a child’s physical or psychological well-being.
- **Adverse childhood experiences** – a subset of childhood adversities included in the seminal ACEs study.



Child Trends, 2019.



3 Key Elements of Trauma

1. Events
2. Experiences
3. Effects



Source: All graphics on this page retrieved from Shutterstock

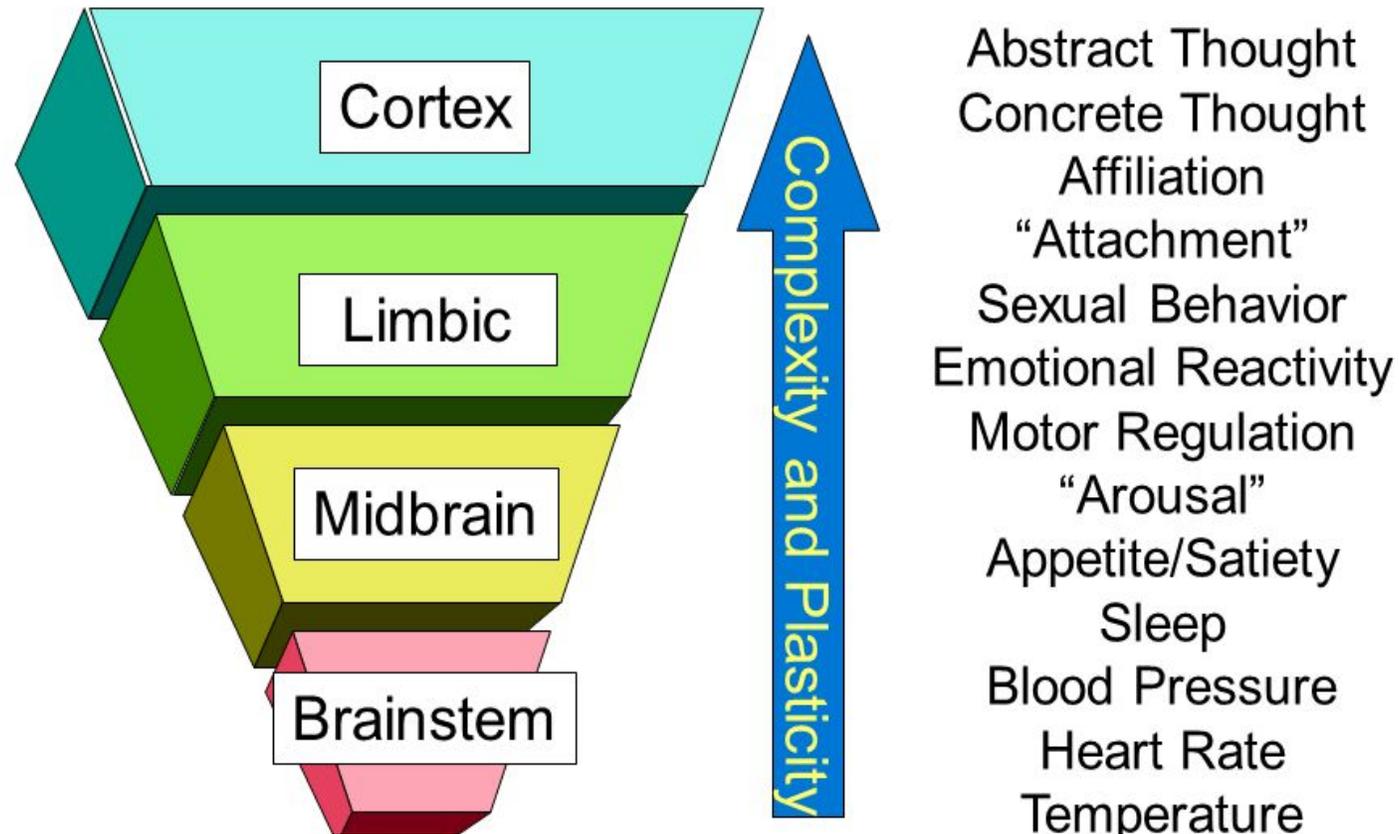




Continuum of Stress

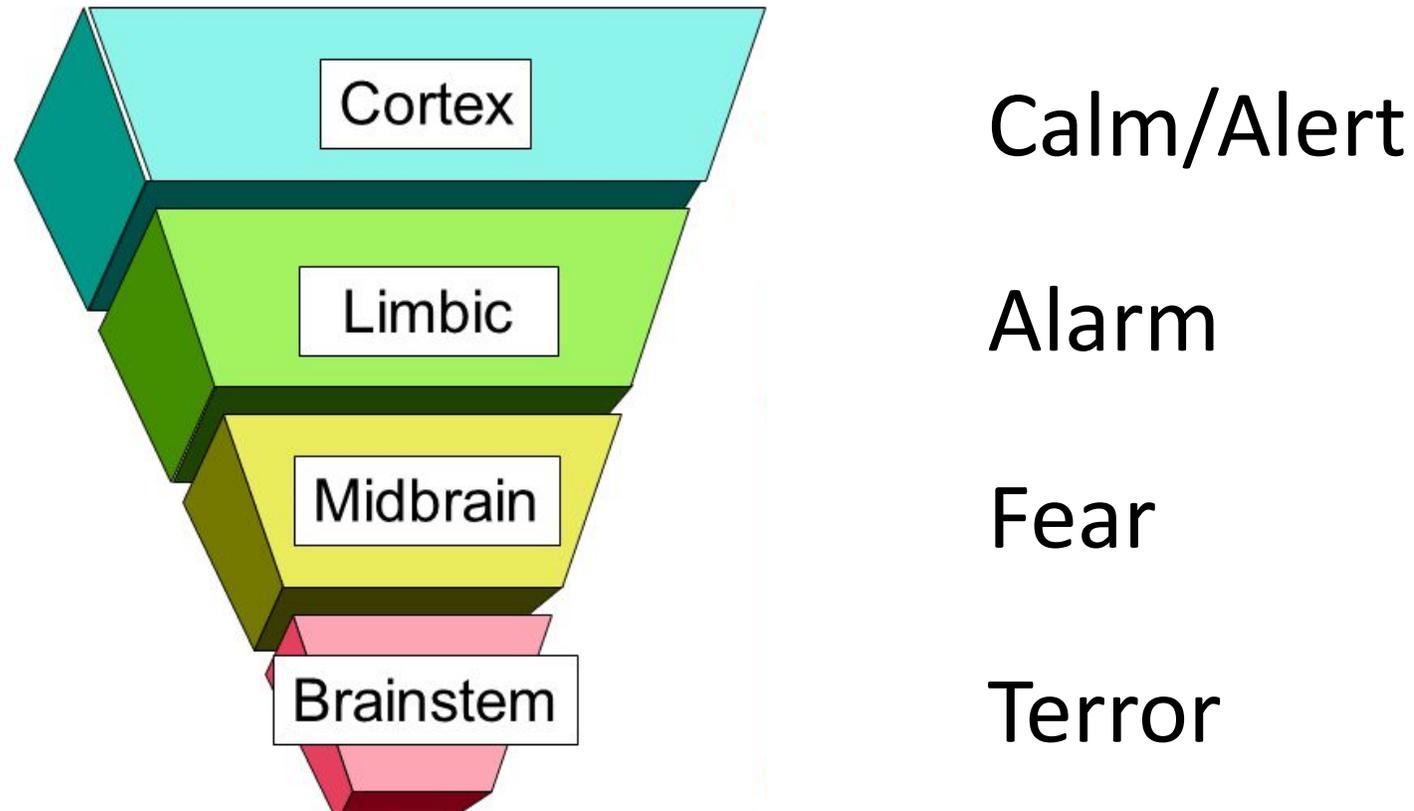


Hierarchy of Brain Development



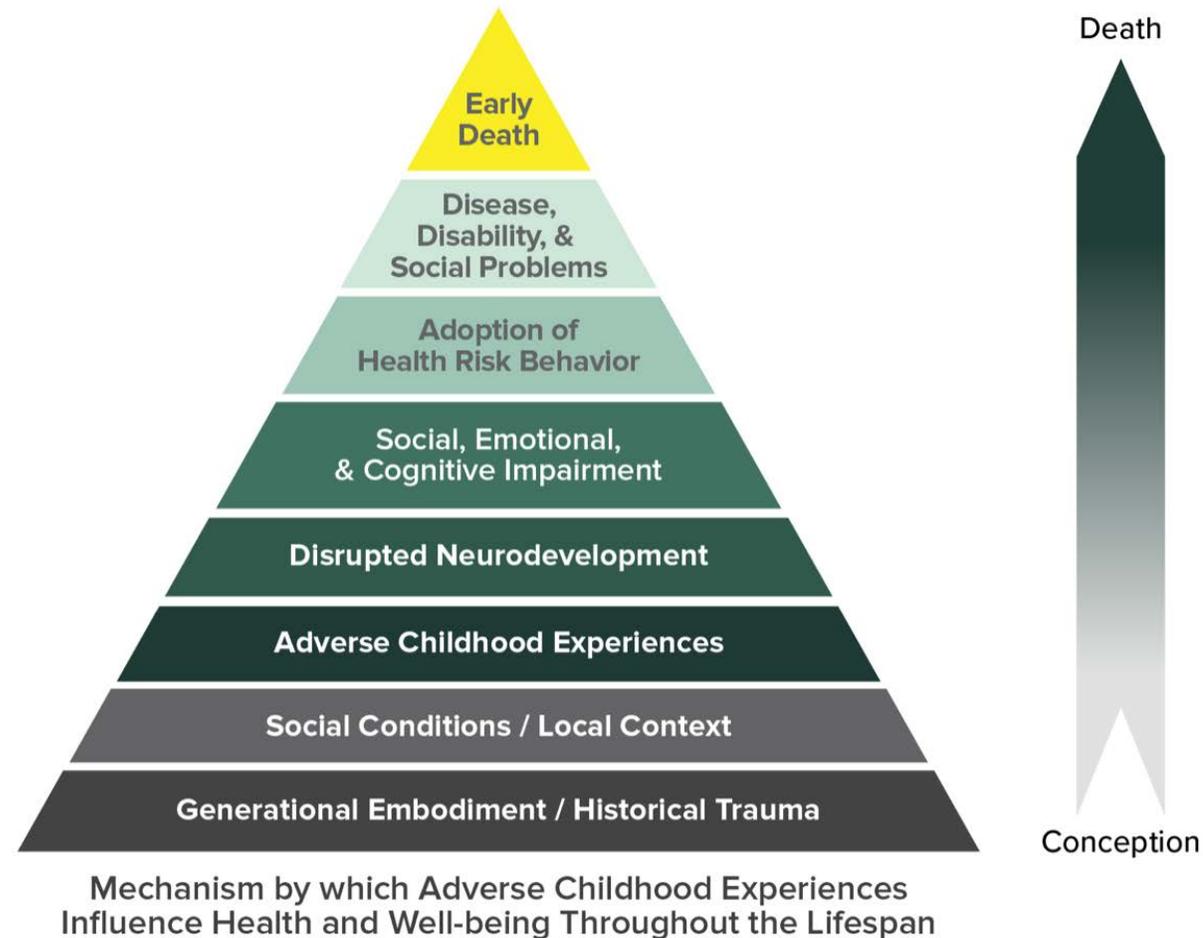
Perry, B. and Szalavitz, M. 2006, 2017

Activation of the Stress Response System



Perry, B. and Szalavitz, M. 2006, 2017

Adverse Childhood Experiences (ACEs)



Centers for Disease Control and Prevention - Adverse Childhood Experiences (ACE)

<https://www.cdc.gov/violenceprevention/acestudy/>



Adverse Childhood Experiences

- ACEs are strongly associated, in a dose-response fashion, with some of the most common and serious health conditions facing our society today.
- ACEs are highly prevalent.
- ACEs affect all communities.

Aces aware, 2021.

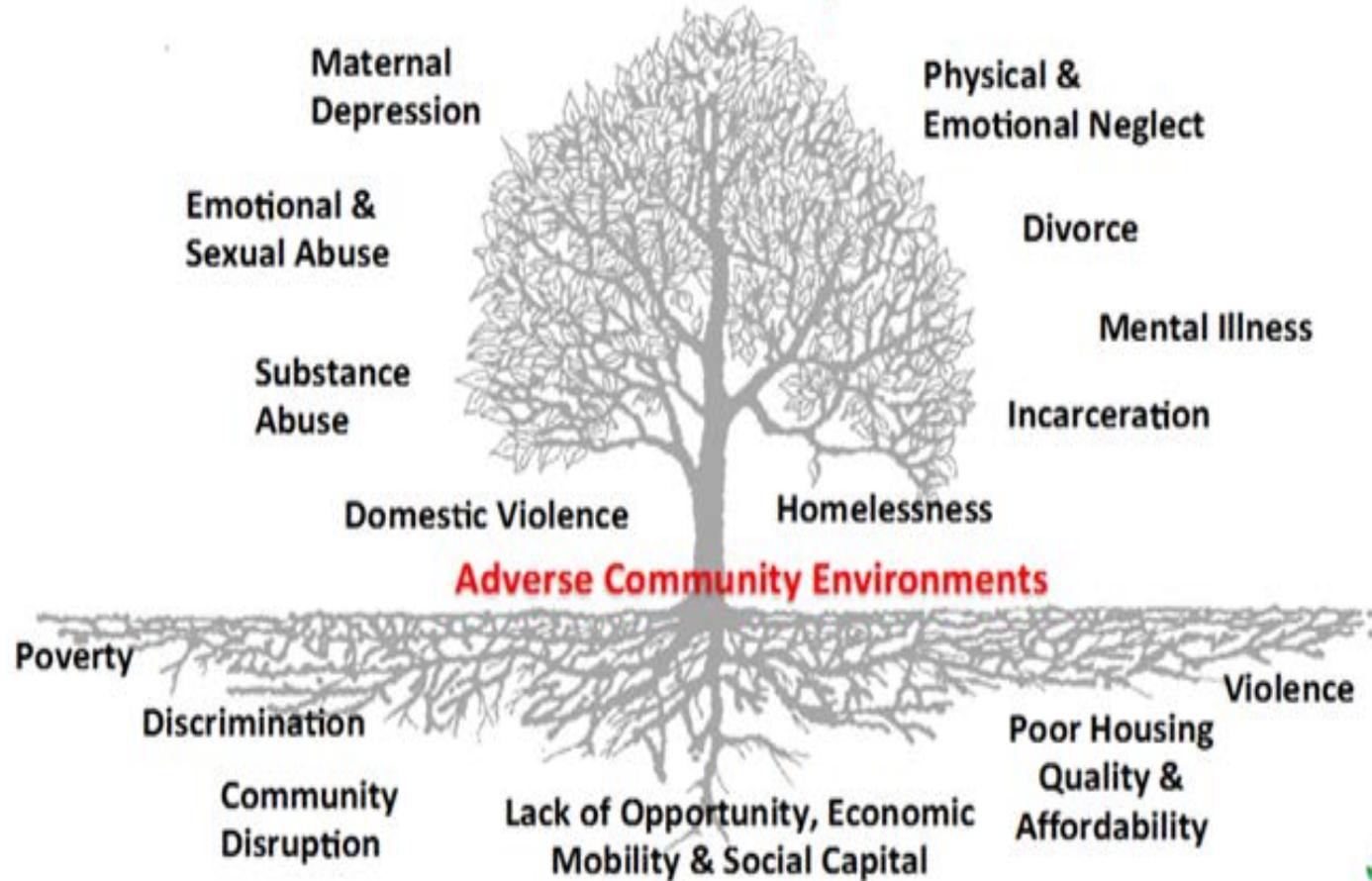


Life-Long Physical, Mental & Behavioral Health Outcomes Linked to ACEs

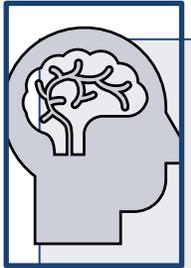
- Alcohol, tobacco & other drug addiction
- Auto-immune disease
- Chronic obstructive pulmonary disease & ischemic heart disease
- Depression, anxiety & other mental illness
- Diabetes
- Multiple divorces
- Fetal death
- High risk sexual activity, STDs & unintended pregnancy
- Intimate partner violence—perpetration & victimization
- Liver disease
- Lung cancer
- Obesity
- Self-regulation & anger management problems
- Skeletal fractures
- Suicide attempts
- Work problems—including absenteeism, productivity & on-the-job injury

The Pair of ACEs

Adverse Childhood Experiences

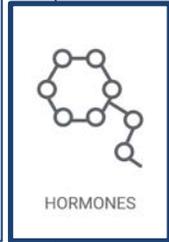


Physical Impact of Trauma



Brain architecture:

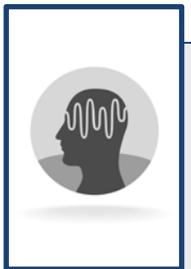
Shrinkage in the prefrontal cortex, corpus callosum, and hippocampus. Enlarged and more reactive amygdala.



HORMONES

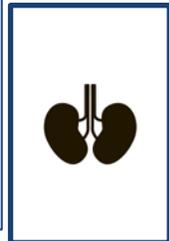
Hormones:

Prolonged high cortisol and ghrelin creates greater reactivity to stress. Long term damage to cells, the structures of the body, and other hormone glands (thyroid).



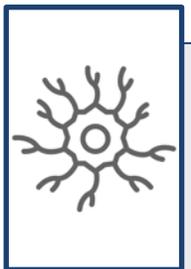
Brain waves:

Predomination of wrong brain waves in wrong part of the brain leads to anxiety, unable to concentrate, and seizures.



Toxin elimination:

Intestines and kidneys less able to eliminate toxins (slow gut or unbalanced flora).



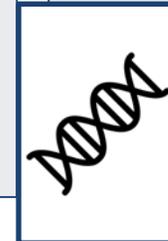
Neural Pathways:

Need to “rewire” our brain from old thought patterns and habits of mind, conscious, and unconscious.



Nervous System:

Supercharged sympathetic nervous system. Parasympathetic nervous system not engaged to bring back into balance.



Cellular Change:

Shortens telomeres which prematurely ages and reduces reproduction of cells and can cause cancer.



Immune system:

Resistance to cortisol or lower cortisol creates unchecked inflammation. Cause of many disease: asthma, arthritis, etc.

ANNUAL COST OF TRAUMA



Source: Coalition for National Trauma Research
<https://www.nattrauma.org/trauma-statistics-facts/>



Gabor Mate's Definition of Addiction

Any behavior that a person is not able to give up and is associated with:

- Craving and temporary relief
- Long-term negative consequences

**Early emotional loss is the template
for all addictions**

Mate, Gabor, (2010). *In the realm of the hungry ghosts*. Berkley, CA: North Atlantic Books. Print.

Making Connections



- Sources estimate that 25 -75% of abuse and/or violent trauma survivors develop alcohol misuse issues
- Survivors of accidents, illness, or natural disasters have between 10 to 33% higher rates of addiction
- A diagnosis of PTSD increases the risk of developing alcohol misuse
- Male and female sexual abuse survivors experience a higher rate of addiction compared to those who have not survived such abuse

The Correlation Between Trauma and Substance Abuse. Retrieved June 14, 2019 from <https://www.palmerlakerecovery.com/blog/trauma-substance-abuse/>



The connection between trauma and smoking in behavioral health populations

WHY TOBACCO AND BEHAVIORAL HEALTH?!

1. VERY HIGH RATES OF TOBACCO USE

INDIVIDUALS WITH BEHAVIORAL HEALTH CONDITIONS USE TOBACCO AT A **2-3 TIMES HIGHER RATE**

INDIVIDUALS WITH BEHAVIORAL HEALTH CONDITIONS: **SMOKE UP APPROXIMATELY 25% OF THE POPULATION** AND **CONSUME MORE THAN 40% OF ALL CIGARETTES**

2. TOBACCO-RELATED ILLNESSES - CANCER, HEART DISEASE, AND LUNG DISEASE - ARE AMONG THE MOST COMMON CAUSES OF DEATH IN INDIVIDUALS WITH BEHAVIORAL HEALTH CONDITIONS

3. CONCURRENT TREATMENT OF TOBACCO, ALCOHOL, AND OTHER DRUGS CAN INCREASE LONG-TERM ABSTINENCE BY 25%

FOR MORE INFORMATION, TOOLS, AND RESOURCES VISIT: www.BehaveCHANGE.org



TRAUMA-ORGANIZED

- Reactive
- Reliving/Retelling
- Avoiding/Numbing
- Fragmented
- Us Vs. Them
- Inequity
- Authoritarian Leadership



TRAUMA-INFORMED

- Understanding of the Nature and Impact of Trauma and Recovery
- Shared Language
- Recognizing Socio-Cultural Trauma and Structural Oppression



HEALING ORGANIZATION

- Reflective
- Making Meaning Out of the Past
- Growth and Prevention-Oriented
- Collaborative
- Equity and Accountability
- Relational Leadership

TRAUMA INDUCING

TO

TRAUMA REDUCING



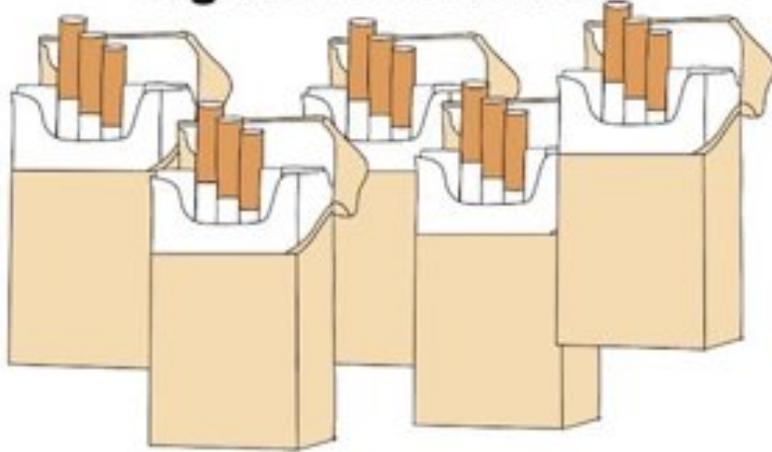
Sources: Adapted from T2's 5Cs, "Clinical Guidelines for COVID-19 Response" <http://traumatransformed.org/healing-mass-trauma/>
Van Hattum, T. (2020). *Why Tobacco and Behavioral Health* [Graphic].
[Untitled Graphic Connecting the Dots]. Retrieved from National Council for Behavioral Health.

Approximately 25% of adults in the U.S. have some form of mental illness or substance use disorder, and these adults consume almost 40% of all cigarettes smoked.



What caused this problem?

Why do individuals with behavioral health conditions and trauma histories have such disproportionate tobacco use rates?



Source: Van Hattum, T. (2020). *Cigarettes* [Graphic].



Source: Van Hattum, T. (2020). *Questions around Tobacco Use* [Graphic].

One part of this disparity can be attributed to predatorial practices by tobacco companies which include:

- Targeted advertisements
- Providing free or cheap cigarettes to psychiatric clinics
- Blocking of smoke-free policies in behavioral health facilities
- Funding research that perpetuates the myth that cessation would be too stressful and negatively impact overall behavioral health outcomes

And limited access:

- High quality care
- Delays in care
- Lower quality of care
- Higher provider bias

- **TRAUMA**



**SO WHAT DOES
ACES AND
TRAUMA HAVE
TO DO WITH
TOBACCO?**

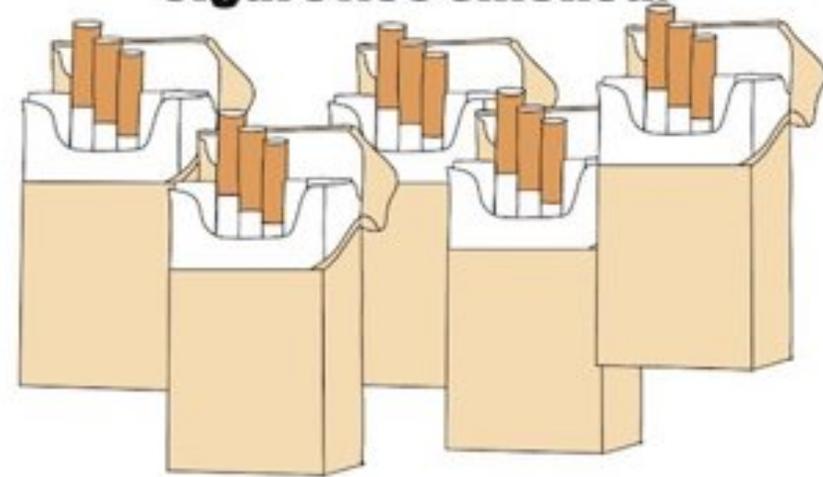


Source: Van Hattum, T. (2020). Aces, Trauma & Tobacco [Graphic].

Cigarette use among persons with mental illness are significantly higher than rates among persons who do not have a mental illness.



Approximately 25% of adults in the U.S. have some form of mental illness or substance use disorder, and these adults consume almost 40% of all cigarettes smoked.



Sources: Van Hattum, T. (2020). Cigarette Use & Mental Illness[Graphic]. Van Hattum, T. (2020). Cigarettes[Graphic].



Prevalence of Trauma in Behavioral Health Treatment Settings

- Majority of adults and children in inpatient psychiatric and substance use disorder treatment settings report a trauma history (Lipschitz et al., 1999; Suarez, 2008; Gillece, 2010).
- 43% to 80% of individuals in psychiatric hospitals have some form of experienced physical or sexual abuse.
- 51% to 90% “public mental health clients” are exposed to trauma (Goodman et al., 1997; Mueser et al., 2004).
- 2/3 of adults in SUD treatment report child abuse and neglect (SAMHSA, CSAT, 2000).
- A survey of adults in SUD treatment found that more than 70% had a history of trauma exposure (Suarez, 2008).



Special Populations

- Nearly **20 percent** of returning veterans from Iraq and Afghanistan (or 300,000 people) have symptoms of PTSD or major depression
- 6 out of 10 Veterans smoke
- Females who have experienced trauma are more likely to smoke if they become pregnant.
- Females who are pregnant and smoke have 10% higher rates of current and lifetime PTSD than females who quit smoking while pregnant

Source: Armenta, R.F., Rush, T., LeardMann, C.A. *et al.* Factors associated with persistent posttraumatic stress disorder among U.S. military service members and veterans. *BMC Psychiatry* **18**, 48 (2018). <https://doi.org/10.1186/s12888-018-1590-5>

HIGHER ACE Score = Increased Smoking



6 of 100 people with 0 ACEs smoke



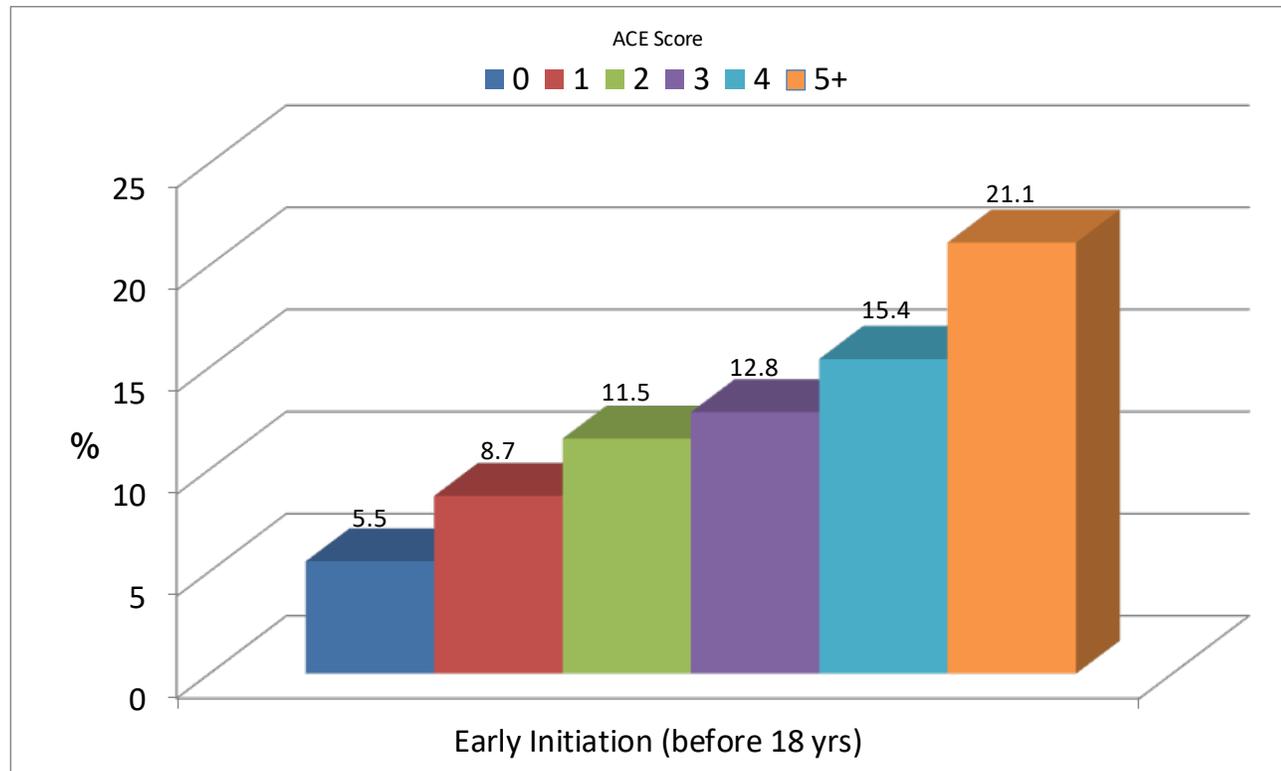
11 of 100 people with 3 ACEs smoke



17 of 100 people with 7 ACEs smoke

Source: Austin, E. The Effect of Adverse Experiences on the Health of Current Smoker. 2002.

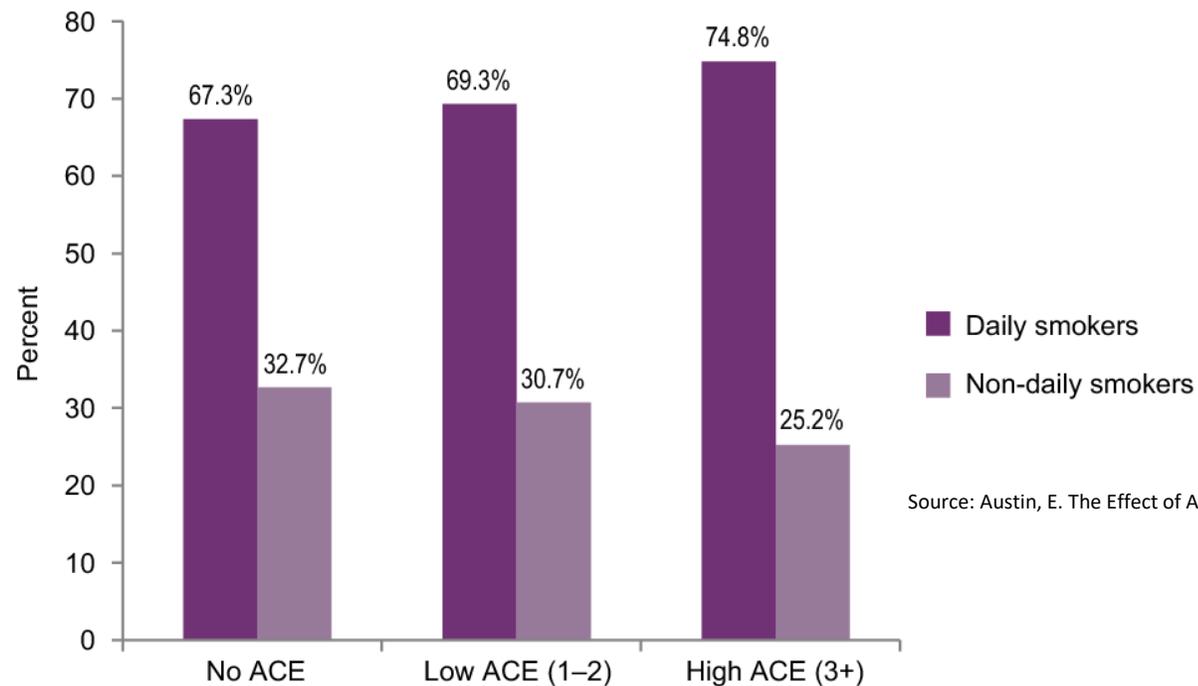
Smoking: Age at First Use



Anda, R. F., Croft, J. B., Felitti, V. J., Nordenberg, D., Giles, W. H., Williamson, D. F., & Giovino, G. A. (1999). Adverse childhood experiences and smoking during adolescence and adulthood. *Journal of the American Medical Association*, 282, 1652–1658.

ACEs and Smoking Prevalence

Figure 1.
Prevalence of Daily and Non-daily Smoking
by Adverse Childhood Experiences (ACE) Study Groups



Source: Austin, E. The Effect of Adverse Experiences on the Health of Current Smoker. 2012.

ACEs → Health Risk Behaviors → Long Term Health Consequences

Individuals with a history of severe trauma are **twice** as likely to develop a smoking dependence

- **45%** of adults with a PTSD diagnosis smoke
- **73%** of those smoke 1+ pack of cigarettes per day



Source: Austin, E. The Effect of Adverse Experiences on the Health of Current Smoker. 2012.



Tobacco Cessation in BH Populations – The Facts

- Most persons with mental illness and substance use disorders **want to quit smoking**. [1,2]
- **Smokers are more than 2x likely to quit for good with the help of tobacco cessation medications and counseling services.**
- Nicotine has powerful mood-altering effects that can change how people living with mental illness think and feel. Behavioral health populations who smoke can have more severe symptoms, poorer well-being and functioning, increased hospitalizations and are at greater risk of suicide. [3]
- **Smoking cessation can enhance long-term recovery for persons with substance use disorders.** For example, if someone quit smoking at the same time they are quitting [4]

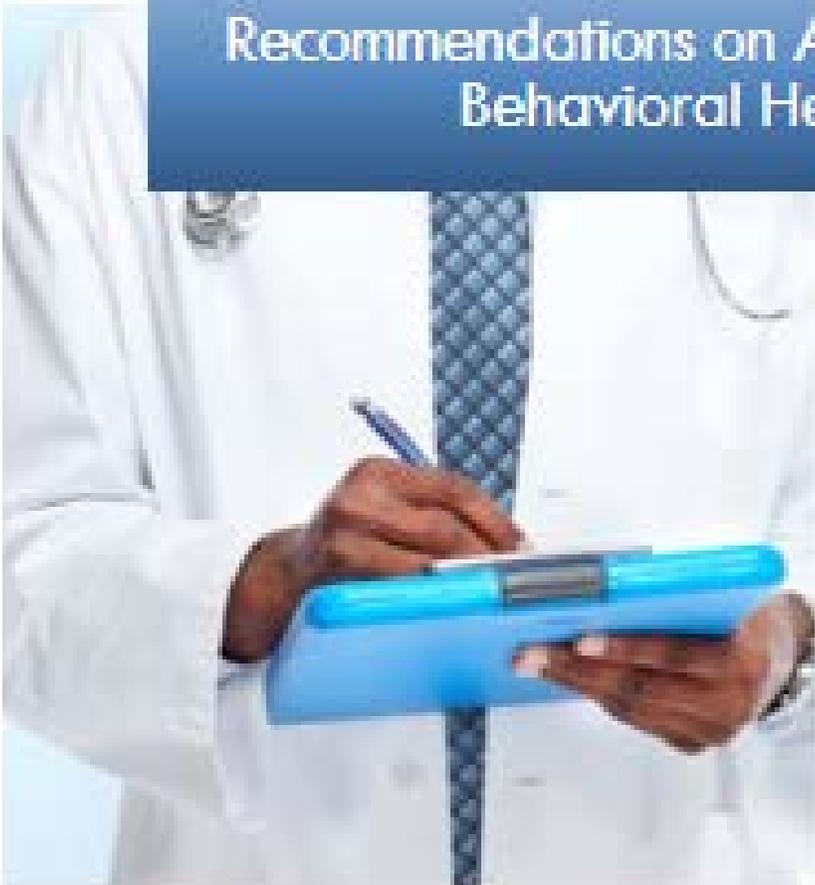
Sources: [1] Acton et al. Depression and stages of change for smoking in psychiatric outpatients. *Addictive Behaviors*. 2001; 26(5):621-31. [2] Prochaska et al. Return to smoking following a smoke-free psychiatric hospitalization. *Am J Addiction*. 2006; 15(1):15-22. [3] Heiligenstein E, Smith SS. Smoking and mental health problems in treatment-seeking university students. *Nicotine & Tobacco Research*. 2006;8(4):519-23 [4] Prochaska, Judith J; Delucchi, Kevin; & Hall, Sharon M. A meta-analysis of smoking cessation interventions with individuals in substance abuse treatment or recovery.. *Journal of consulting and clinical psychology*. 2001; 69(5):515-22. Retrieved from: <http://escholarship.org/uc/item/0r8673wv>

How are we doing when it comes to tobacco interventions?

Intervention	Mental Health Tx Facilities	Substance Abuse Tx Facilities
	2018	2018
Tobacco Use Screening	52.8%	67.0%
Cessation Counseling	40.5%	49.8%
Nicotine Replacement Therapy	26.6%	28.0%
Non-nicotine Cessation Medications	24.1%	22.3%
Smoke-free Building/Grounds	50.1%	34.5%

Sources: [National Mental Health Services Survey \(N-MHSS\): 2018. Data on Mental Health Treatment Facilities](#); [National Survey of Substance Abuse Treatment Services \(N-SSATS\): 2018. Data on Substance Abuse Treatment Facilities](#).

Recommendations on Addressing Tobacco Use in Behavioral Health Populations

- 
- ✓ Adopt tobacco-free facility/grounds policies.
 - ✓ Integrate tobacco treatment into behavioral healthcare.
 - ✓ 5 A's
 - ✓ NRTs
 - ✓ pharmacological supports
 - ✓ Utilize the Quitline and other evidence-based interventions
 - ✓ Engage peer models
 - ✓ Think beyond cessation to RECOVERY

Source Slide Courtesy of SAMHSA: Substance Abuse and Mental Health Services Administration. "Tobacco and Behavioral Health: The Issue and Resources." https://www.samhsa.gov/sites/default/files/topics/alcohol_tobacco_drugs/tobacco-behavioral-health-issue-resources.pdf [accessed 2018 May 11].



Trauma Informed, Resilience-Oriented Approaches to Tobacco Cessation



Solutions provided by **evidenced-based interventions** and the **paradigm shift to trauma-informed** as central to addressing tobacco use and other modifiable health risks.

What is a Trauma-Informed, Resilience-Oriented Approach?

Realizes

- Realizes widespread impact of trauma and understands potential paths for recovery

Recognizes

- Recognizes signs and symptoms of trauma in clients, families, staff, and others involved with the system

Responds

- Responds by fully integrating knowledge about trauma into policies, procedures, and practices

Resists

- Seeks to actively resist re-traumatization



Two Important Tenets of a Trauma-Informed, Resilience-Oriented Approach

We change the question from

“What is wrong with you?”

to

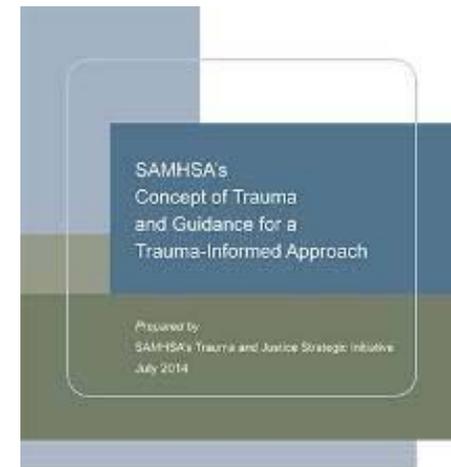
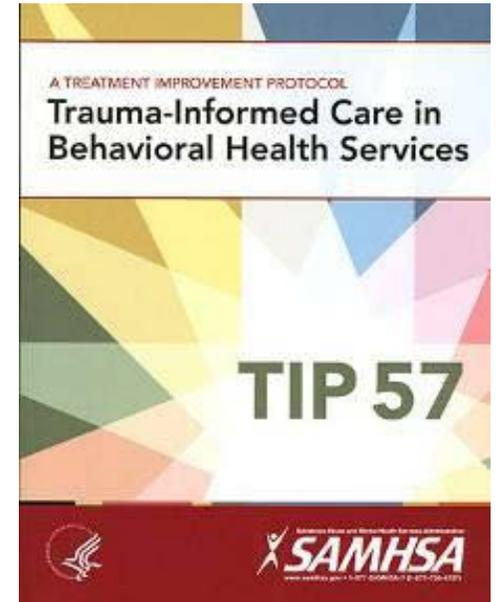
“What happened to you?”



*We assume everyone
is doing the best they can*

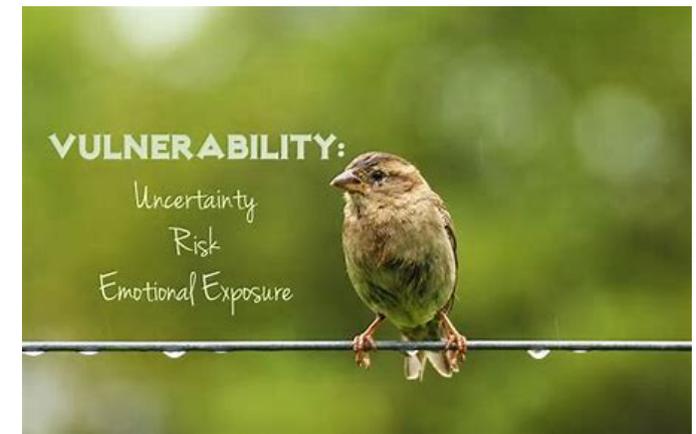
Principles of a Trauma-Informed, Resilience-Oriented Approach

- Safety
- Trustworthiness and transparency
- Peer support
- Collaboration and mutuality
- Empowerment, voice and choice
- Consideration of cultural, historical, and gender issues



Safety - Provide smoking cessation interventions that avoid potential triggers for re-traumatization

- Create a positive culture that prioritizes physical, emotional and psychological safety for every individual
- Respect privacy and confidentiality
- Model vulnerability
- Practice regulation
- Practice [self-compassion](#)



Neff, K., Self-compassion

Substance Abuse and Mental Health Services Administration. 2014



Trust and Transparency

Participants:

- Share as much control as possible
- Use reflective listening and motivational interviewing skills
- Be aware of boundary issues and power dynamics
- Express empathy

Staff:

- Acknowledge what is happening
- Don't be afraid to share your emotions
- Share as much information as possible
- Explore Brene Brown's [BRAVING](#) framework

Brene Brown's
BRAVING

B – Braving

R – Reliability

A – Accountability

V – Vault

I – Integrity

N – Non-judgement

G - Generosity

Brown, B. (2018).

Substance Abuse and Mental Health Services Administration. 2014

Collaboration and Mutuality

Participants

- Level the power differential
- Listen to understand, not to respond
- Work together to consider solutions
- Respect participant's life experiences and history
- Recognize that participant is the expert in their own life



Staff

- Communicate often and through multiple mechanisms
- Look for common experiences that can highlight our shared humanity
- Check in with staff often

Substance Abuse and Mental Health Services Administration. 2014



Empowerment, Voice and Choice

Participants

- Educate participants about stress and what to do
- Embrace shared-decision making
- Honor what you hear and offer all possible alternatives
- Focus on skills-development
- Eliminate punishment, controls or orders – always validate and affirm

Staff

- Seek staff input
- Honor different ways to engage in the work
- Examine current expectations



Peer Support and Mutual Self-Help

Participants

- Connect with self help services
- Advocate
- Link experiences of participants

Staff

- Consider creating a buddy system
- Bring [Mental Health First Aid at Work](#) to your workforce
- Ensure all staff know how to access Employee Assistance Program
- Create peer support circles





Cultural, Historical and Gender Issues

Practice [cultural humility](#)

Participants:

- Offer services sensitive to the gender, culture and unique background of the consumer
- Emphasize personal choice and control

Staff:

- Be curious about how people across all sectors and groups are impacted by current events.
- Ensure everyone is invited to contribute to the solutions
- Create a positive culture in which staff can have hard conversations around bias, cultural diversity, equity, inclusion, and systemic racism



Rethinking the role of healthcare staff

within trauma-informed and trauma specific practices.

Source: Van Hattum, T. (2020). Rethinking the role of clinicians [Graphic].



Staff/Treaters

- Often have their own traumatic histories
- Seek to avoid re-experiencing their own emotions
- Respond personally to others' emotional states
- Perceive behavior as personal threat or provocation

Increase curiosity about your own mind

Am I able to be curious and
unknowing right now?

Am I “offline?”





Key Considerations in Addressing Trauma

- Build in more time for conversation
- Focus on the relationship
- Do intentional planning around relapse
- Infuse TI principles and sensitive practices into policies and interactions
- Teach about the connection between trauma and smoking
- Understand the mind and body connection
- Use Peer to Peer interventions
- Use interventions that help people cope with stress
- Use motivational interviewing skills



Organizational Level



Focus on Creating the Conditions for Organizational Change

- Develop a core implementation team (CIT)
- Ensure continued support from leadership
- Educate CIT members
- Conduct an organizational self-assessment
- Align trauma-informed initiative with existing organizational initiatives
- Communicate to stakeholders for engagement and support
- Develop a plan
- Monitor progress

National Council for Behavioral Health. 2019.

Focus on Trauma-Informed Action Steps



Help all individuals feel safety, security and trust



Develop a trauma-informed workforce



Build compassion resilience in the workforce



Identify and respond to consumers around stress, distress and trauma



Finance and sustain trauma-informed initiatives



Core considerations at the organizational level

- Train staff about trauma, sensitive practice and sharing of critical information
 - *Have you trained staff around how ACEs impact smoking initiation risk and use?*
- Screen and assess for trauma
 - *Are you reminding staff to screen for tobacco use? With the high rates of overlap these two are interconnected and deeply impact each other.*
- Create a safe and comfortable environment
 - *Where are services provided and what safety provisions should be considered?*
 - *Have your staff been trained on person first language around tobacco use?*
 - *Is your organization working on building a resilient staff culture?*
- Provide services in a trauma-informed manner
 - *Are you using non-stigmatizing language around tobacco use as an addiction versus just a personal preference and behavior?*



Sample scripts for talking about trauma and smoking

At first appointment

Hello, my name is [name], and I am [role]. Is [name] your preferred name? Thank you; I'll make a note of this for future appointments. I'm glad you are here today. I have some questions to ask you about your history. As medical providers, we are becoming more and more aware of how past experiences can affect our health in the here and now, including our smoking cessation efforts. Sometimes knowing about difficult experiences in the past can help us to change our approach for you as a participant to make this a safe and comfortable place for your health care and efforts to stop smoking. How would you feel about answering a few brief questions about your personal and family history?



Sample scripts for talking about trauma and smoking

At follow up appointments:

Hello, [name]. (Be sure to use the preferred name noted in their chart from the first appointment.) Each year we like to check in about experiences in your life that might affect how we help you with your medical care and smoking cessation efforts. Would you be willing to look at this survey about your past experiences again and update our understanding of you as a person and how we can best support you?



Sample scripts for talking about trauma and smoking

Open ended question:

“Difficult life experiences, like growing up in a family where you were hurt, or where there was mental illness or drug/alcohol issues, or witnessing violence, can affect our health. Do you feel like any of your past experiences affect your physical or emotional health? Trauma can continue to affect our health and our smoking cessation efforts. If you would like, we can talk more about services that are available that can help.”

Identifying resilience factors:

“In the past, which of your strengths have you relied on to “bounce back” after difficult experiences?”

National Council for Behavioral Health. 2019.



Sample scripts for talking about trauma and smoking

Response to trauma disclosure:

“I am sorry this happened to you. Thank you for sharing this with me. This information can help me understand how best to care for you. Trauma can continue to affect our lives and health and impact our smoking cessation effort. Do you feel like this experience affects your health or well-being?”

“In light of what you’ve shared today, is there anything I can do to make you feel more comfortable during our appointments together? Do you have any concerns we should address before moving forward?”

“Thank you for sharing this. I will note it in the record for future appointments, and you can always change or add to it later. What questions do you have?”

National Council for Behavioral Health. 2019.

Tools for assessing for trauma and resilience

- Staying Healthy Assessment Questionnaires. Catalog of screening tools for a range of ages in multiple languages from the California Department of Health Care Services.
- National Center for PTSD. Compilation of training materials and tools for assessing PTSD and trauma from the U.S. Department of Veterans Affairs.
- Devereux Resilience Scale
- Connor-Davidson Resiliency Scale (CD-RISC)



Some Aspects of Integrating a Trauma-Informed Approach

- Train staff about trauma, sensitive practice and sharing of critical information
Have you ever trained staff around how ACEs impact smoking initiation risk and use?
- Screen and assess for trauma
Are you reminding staff to screen for tobacco use? With the high rates of overlap these two are interconnected and deeply impact each other.
- Communicate a sensitivity to trauma issues
Have you ever explained to a patient/client how trauma impacts smoking?
- Create a safe and comfortable environment
Have you and your staff been trained on person first language around tobacco use?
Have you and your staff been trained on trauma-informed motivational interviewing?
- Provide services in a trauma-informed manner
Are you using non-stigmatizing language around tobacco use as an addiction versus just a personal preference and behavior?



Practice Level



Normal Response to Traumatic Events

- Feelings become intense and sometimes are unpredictable
- Thoughts and behavior patterns are affected by the trauma
- Recurring emotional reactions are common
- Interpersonal relationships often become strained
- Physical symptoms may accompany the extreme stress

Different Interventions for Different Mental States



- Top-Down approach questions the client's thoughts in therapy. It begs the question: *What is wrong with your thinking, and how do we fix it?*
- Bottom-Up Interventions are effective because it assumes that you must first recognize and soothe feelings. *It is difficult to change your thoughts long term about anything without first noticing the feeling that triggers the thought, and working to address it from the primal part of your brain.*



Ingredients for knowing when a top-down approach may be indicated

Evidence-based trauma-informed and trauma-specific interventions provide individuals and families with strategies to :

- Focus their minds and recognize there are times when they may not feel safe. Learning the strategies for regulating emotions and controlling anxiety is vital to everyday functioning in society.
- Future planning.
- Recognizing one's own and others' alarm reactions
- Sweeping one's mind clear before judging and acting
- Focusing on what is most important and positive
- Being aware of stress and personal control levels. These strategies activate the thinking center and reset the alarm.



3 Strategies for Regulation

#1 – Top Down

Cortex
Limbic
Midbrain
Brainstem



- Journaling
- Self-compassion
- Mindfulness
- Reflection
- Healthy boundaries
- Clear expectations
- Gratitude practices
- Cultural humility
- Problem solving
- Pause between stimulus & action



3 Strategies for Regulation

#2 - Bottom Up

Cortex
Limbic
Midbrain
Brainstem



- Focused breathing
- Grounding exercises
- Regulated day
- Calming spaces
- Sensory and calming tools
- Exercise and movement
- Music
- Visual calming exercises
- Alternative workstations

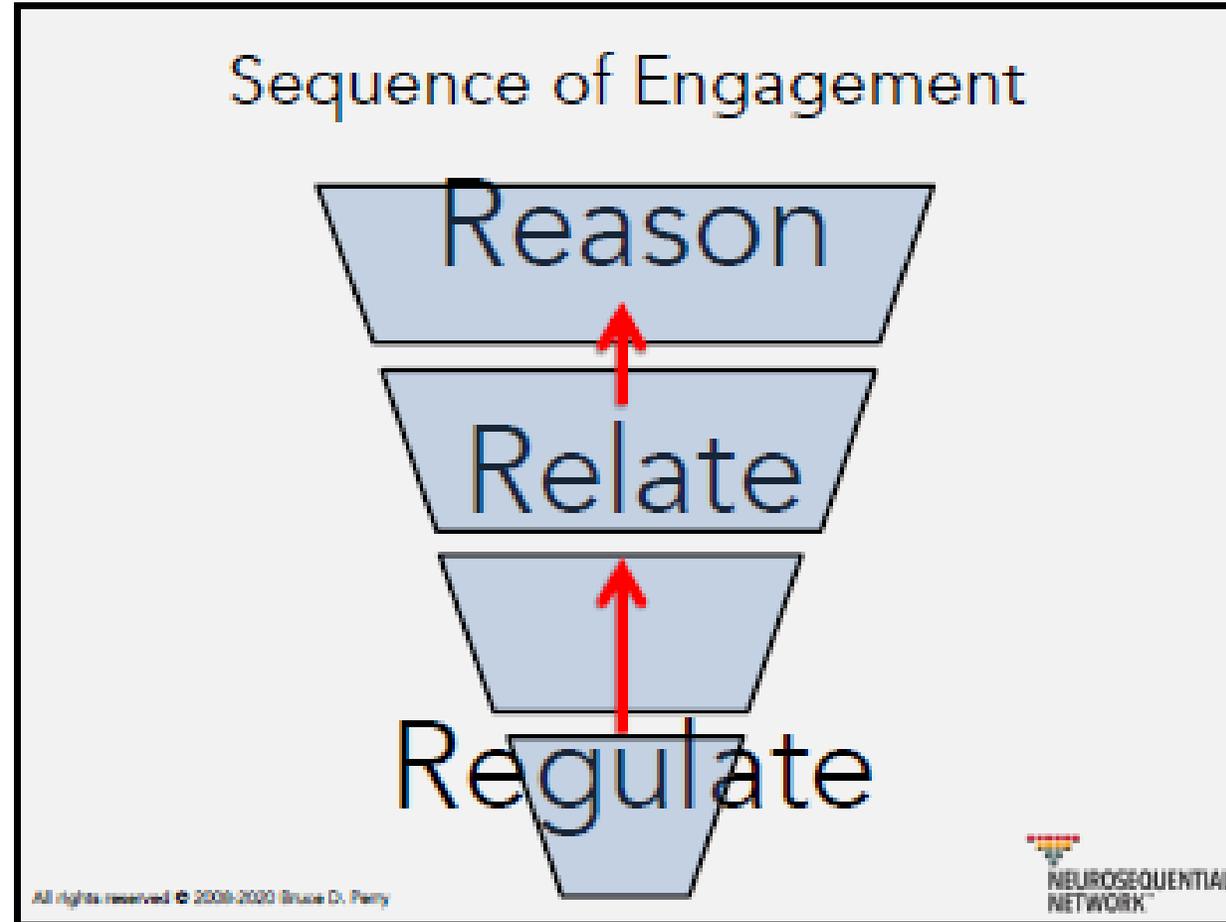


3 Strategies for Regulation

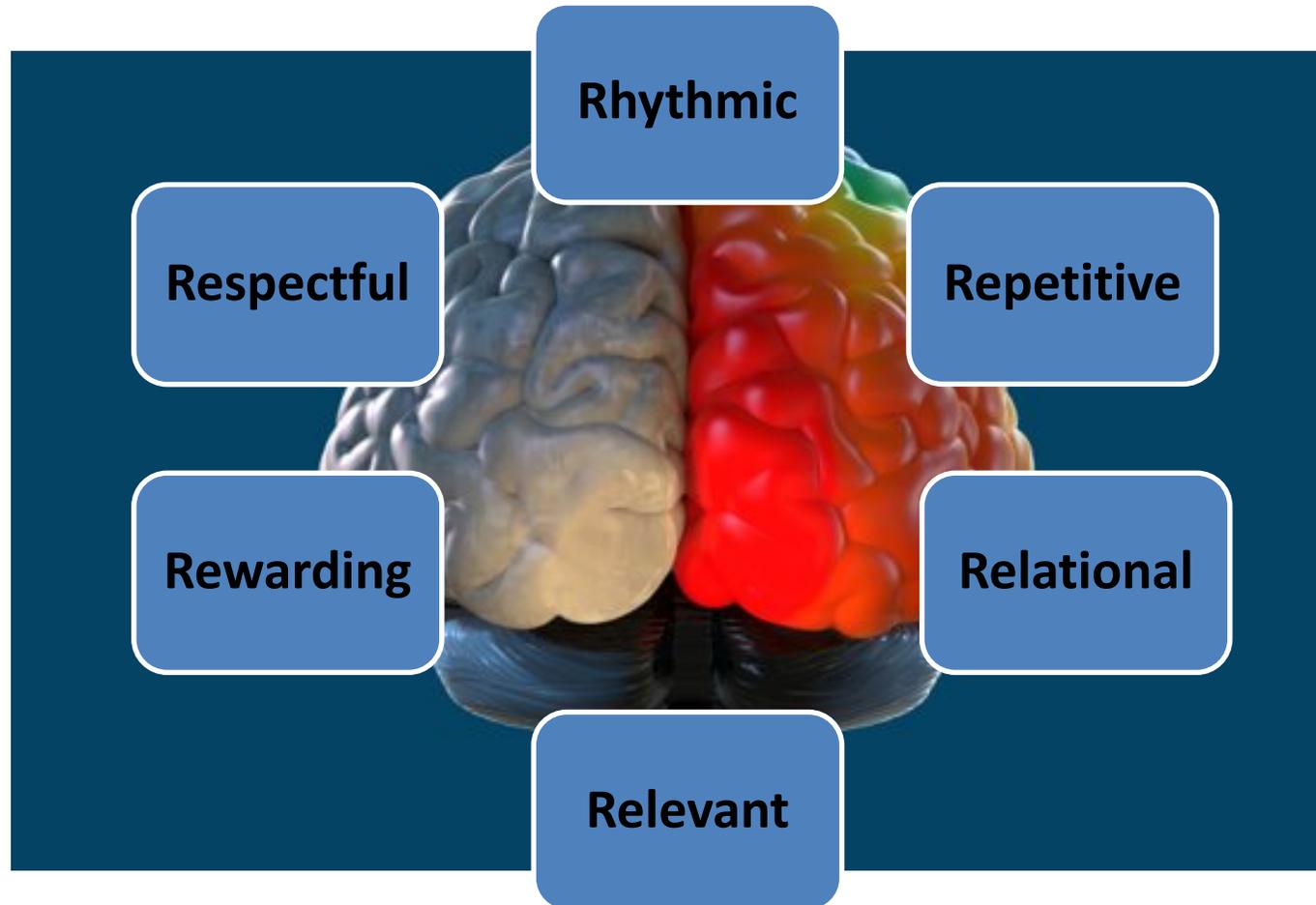
#3 - In Relationship with Others

- Peer support groups
- Mentor and buddy systems
- Vulnerability exercises
- Empathy exercises
- Group movement exercises
- Celebrations
- Recognition activities
- Crucial conversations

Regulate, Relate, Reason



Neurobiologically Informed Interventions and Practices





Evidence-based trauma-informed and trauma-specific interventions

- Somatic Interventions (Yoga, MBSR, Acupuncture, Somatic Experiencing Therapy)
- EMDR
- DBT
- Medicine
- Expressive & Art Based Therapies
- Present Focused Trauma Therapies (Seeking Safety etc.)
- And more....



Teach about Regulation

The basic strategy for quieting our lower brain

“Regulation is the ability to monitor and control our behavior, emotions and thoughts, altering them in accordance with the demands of the situation.” J.L. Cook/G.Cook





Core considerations at the practice level

- Communicate a sensitivity to trauma issues
 - *Do I share information about how trauma impacts smoking?*
 - *Do I frame smoking as a coping mechanism that can be replaced?*
 - *Am I attentive to signs of participant discomfort and unease?*
 - *Are there possible triggers for re-traumatization in my cessation approaches and if so, do I attempt to minimize these?*
 - *Do I consider gender biases, societal hindrances, such as poverty, and other stressors unique to their circumstances?*
- Assist clients to identify their own strengths and to develop coping skills
 - *Do I provide clients with clear explanations of a smoking intervention in a way that is individually tailored to them?*
 - *Do I actively involve participants in the planning of smoking cessation services, and are their priorities elicited and then validated in formulating a plan?*
 - *Does my smoking cessation approach cultivate a model that is doing 'with' rather than 'to' or 'for'?*

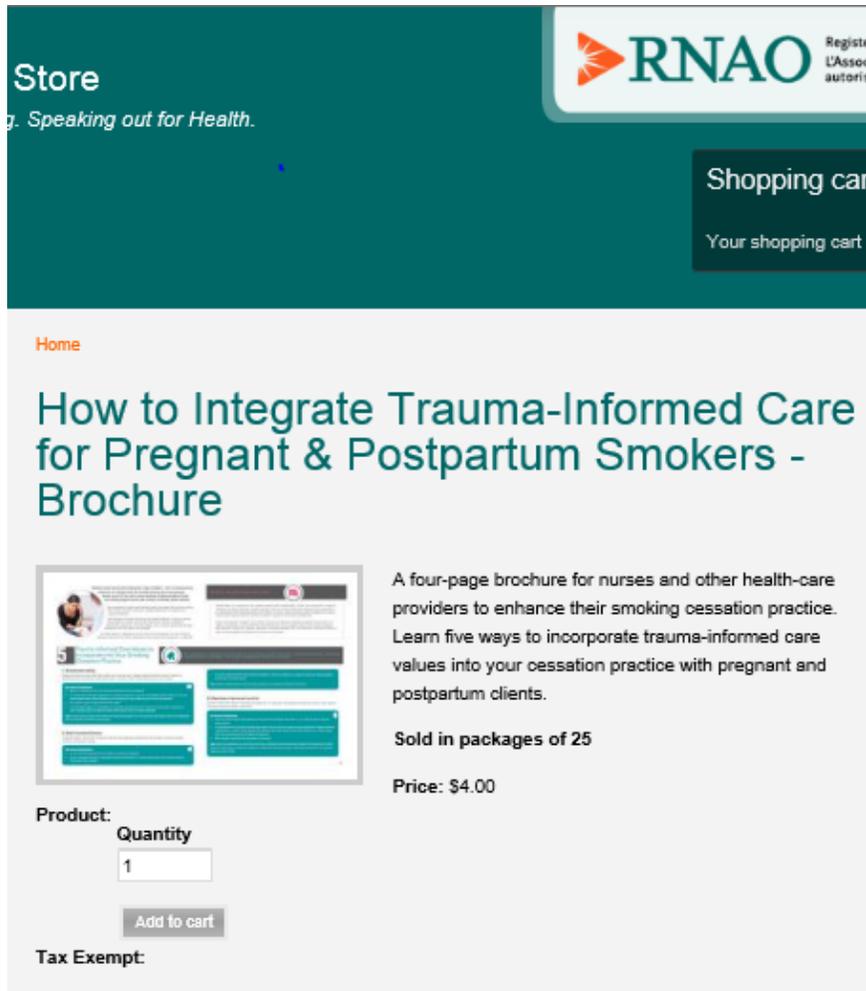
Do I emphasize client growth rather than maintenance?



Core considerations at the practice level

- Emphasize participant choice and control
 - *Do I offer choices to participants regarding how and when the intervention takes place, e.g., do I ask them about timing that works for them?*
 - *To what extent are the individual's priorities given weight in terms of services received and goals established?*
 - *What message is received about unsuccessful quit attempts?*
 - *Do I support the slow process of change and healing*
 - *Have I tried all seven of the FDA approved methods for supporting cessation?*

Trauma-informed tobacco intervention



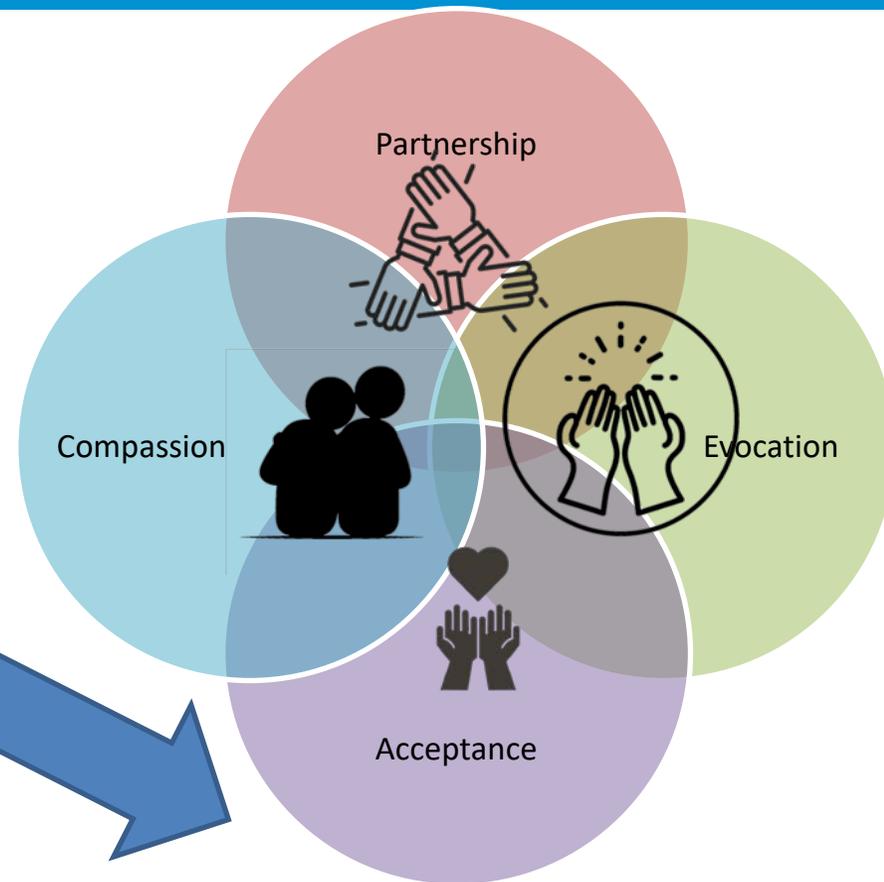
The screenshot shows the RNAO (Registered Nurses Association of Ontario) online store. The header includes the RNAO logo and the text 'Store' and 'g. Speaking out for Health.'. A shopping cart icon is visible in the top right. The main content area features a product listing for a brochure titled 'How to Integrate Trauma-Informed Care for Pregnant & Postpartum Smokers - Brochure'. The product description states: 'A four-page brochure for nurses and other health-care providers to enhance their smoking cessation practice. Learn five ways to incorporate trauma-informed care values into your cessation practice with pregnant and postpartum clients.' The price is listed as '\$4.00' and it is noted that it is 'Sold in packages of 25'. Below the description, there is a 'Quantity' input field with the number '1' and an 'Add to cart' button. A 'Tax Exempt' checkbox is also visible.

Provides questions that guide the practitioner to apply trauma-informed principles

- Emphasize safety
- Build trust
- Maximize choice and control
- Collaborate
- Empower

<https://shop.rnao.ca/node/140>

The Spirit of Motivational Interviewing



Sources: Van Hattum, T. (2020). Mi Processes [Graphics]. Prochaska & DiClemente, 1983

What else can we do?

- Medications
- Recovery coaches
- Technology
- Prioritize the relationship
- Seek ways to build connection
- Embrace compassion instead of punishment





Stress Relief During COVID-19

- Supportive Relationships
- Exercise Daily
- Healthy Sleep
- Nutrition
- Mental and Behavioral Health Support
- Mindfulness and Meditation



California Surgeon General's Playbook: Stress Relief during COVID-19

Next Steps

- 🔗 Ensure you are screening for tobacco use, explore and offer education and intervention. If that doesn't work the first time, keep trying!
- 🔗 Remember to assess for Trauma AND Resilience symptoms. Consider using a validated scale such as the ones indicated on page 60 in the Fostering Resilience and Recovery: A Change Package for Advancing Trauma Informed Primary Care.
- 🔗 Learn more from visiting:
 - > Trauma Transformed: <https://traumatransformed.org>
 - > Resilience Research Centre: <http://resilienceresearch.org>
 - > Adverse Childhood Experiences: ACES Too High: www.cestoohigh.com & ACES Connection: www.acesconnection.com



References

- Aces aware, 2021. Retrieved from [The Science of ACEs & Toxic Stress ACEs Aware – Take action. Save lives.](#)
- Anda, R. F., Croft, J. B., Felitti, V. J., Nordenberg, D., Giles, W. H., Williamson, D. F., & Giovino, G. A. (1999). Adverse childhood experiences and smoking during adolescence and adulthood. *Journal of the American Medical Association*, 282, 1652–1658.
- ASAM, 2019. Retrieved from [ASAM Definition of Addiction](#)
- Battaglia C, Peterson J, Langner P, Whitfield E, Nandi A, Benson SL, et al. Motivational Interviewing and Smoking Cessation: Translating Research into Practice with Fidelity. *J Fam Med*. 2016; 3(3): 1059.
- Child Trends. (2019). Adverse childhood experiences are different than child trauma, and it's critical to understand why. (Bartlett, J.D., Sacks, V.) Retrieved from <https://www.childtrends.org/blog/adverse-childhood-experiences-different-than-child-trauma-critical-to-understand-why>.
- California Surgeon General's Playbook: Stress Relief during COVID-19 <https://covid19.gov/mamange-stress-for-health/>.
- Centers for Disease Control and Prevention - Adverse Childhood Experiences (ACE) <https://www.cdc.gov/violenceprevention/acestudy/>
- Ellis, W., & Dietz, W. (2017). A New Framework for Addressing Adverse Childhood and Community Experiences: The Building Community Resilience Model.. *Academic Pediatrics*, 17 (7S).



References (Cont.)

- Mate, Gabor, (2010). In the realm of the hungry ghosts. Berkley, CA: North Atlantic Books. Print.
- Miller, W.R. and Rollnick, S. Motivational Interviewing, Third Edition: Helping People Change. NY: Guilford Press, 2012.
- National Council for Behavioral Health. (2019). Fostering Resilience and Recovery: A Change Package for Advancing Trauma-Informed Primary Care. Retrieved from https://www.thenationalcouncil.org/wp-content/uploads/2019/12/FosteringResilienceChangePackage_Final.pdf.
- Registered Nurses Association of Ontario, retrieved from [Trauma.indd \(rnao.ca\)](https://www.rnao.ca/Trauma.indd)
- Roberts ME, Fuemmeler BF, McClernon FJ, Beckham JC. Association between trauma exposure and smoking in a population-based sample of young adults. J Adolesc Health. 2008 Mar;42(3):266-74.
- Stevens, J. (2018). ACEs Connection Presentation.
- Substance Abuse and Mental Health Services Administration. Trauma-Informed Care in Behavioral Health Services. Treatment Improvement Protocol (TIP) Series 57. HHS Publication No. (SMA) 13-4801. Rockville, MD: Substance Abuse and Mental Health Services Administration, 2014.
- The Oregonian (2019). Care tries to deal with vets' PTSD and addictions at the same time. Retrieved from [Care tries to deal with vets' PTSD and addictions at the same time - oregonlive.com](https://www.oregonlive.com/care-tries-to-deal-with-vets-ptsd-and-addictions-at-the-same-time/)
- The recovery village. Retrieved from [The Correlation Between Trauma And Substance Abuse \(palmerlakerecovery.com\)](https://www.palmerlakerecovery.com/the-correlation-between-trauma-and-substance-abuse/)
- U.S. Department of Health and Human Services (HHS), Office of the Surgeon General, Facing Addiction in America: The Surgeon General's Spotlight on Opioids. Washington, DC: HHS, September 2018.